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# An Anonymous Voting Scheme based on Confirmation Numbers

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This paper proposes a new electronic voting (e-voting) scheme that fulfills all the security requirements of e-voting *i.e.* privacy, accuracy, universal verifiability, fairness, receipt-freeness, incoercibility, dispute-freeness, robustness, practicality and scalability; usually some of which are found to be traded. When compared with other existing schemes, this scheme requires much more simple computations and weaker assumptions about trustworthiness of individual election authorities. The key mechanism is the one that uses confirmation numbers involved in individual votes to make votes verifiable while disabling all entities including voters themselves to know the linkages between voters and their votes. Many existing e-voting schemes extensively deploy zero-knowledge proof (ZKP) to achieve verifiability. However, ZKP is expensive and complicated. The confirmation numbers attain the verifiability requirement in a much more simple and intuitive way, then the scheme becomes scalable and practical.

**Keywords** : Encryption/Decryption Shuffles, Incoercibility, Universal Verifiability, Confirmation Numbers, Signature Pairs.

## 1. Introduction

Unlike paper-based systems, electronic voting (e-voting) systems based on computers, computer networks and cryptographic protocols enable efficient, accurate, secure, and convenient elections. Also resources of e-voting schemes are reusable, therefore e-voting based elections become inexpensive. Moreover, they do not require any geographical proximity of voters and they provide better scalability for large elections.

Ideal e-voting schemes must satisfy privacy, accuracy, universal verifiability, fairness, receipt-freeness, incoercibility, dispute-freeness, robustness, scalability and practicality<sup>(1),(2),(9)</sup>. However, there are tradeoffs among them and satisfying all requirements at the same time is really difficult. For examples, verifiability requires voters to be linked to their votes, and hence is in contradiction to privacy. Also, achieving incoercibility leads to sacrificing universal verifiability and hence accuracy<sup>(1)</sup>, and satisfying dispute-freeness makes schemes complicated and consequently schemes become impractical or unscalable. Therefore many existing e-voting schemes can satisfy only part of the above requirements.

To overcome these difficulties, this paper proposes a new e-voting scheme<sup>(18)</sup>. The scheme satisfies all the security requirements of e-voting systems listed above. Also this scheme is based on weaker assumptions about trustworthiness of election authorities, *i.e.* nothing can make the scheme unreliable if at least one authority is honest among multiple authorities, and the way of candidate selections is flexible; it accepts freely chosen write-in ballots, votes for a pre-specified or  $t$  out of  $l$  choices as well as yes/no votes.

## 2. Related Works

Among various security requirements, receipt-freeness and incoercibility are especially difficult to satisfy although they are essential for voting, where receipt-freeness disables voters to prove their votes to any entity including themselves in order to achieve incoercibility. Several mixnet and homomorphic encryption based voting schemes<sup>(2),(3),(4)</sup> achieve receipt-freeness by attaching secret random numbers to votes while proving the correctness of votes by using interactive-zero-knowledge proof (IZKP) or non-interactive-ZKP (NIZKP). However ZKP that requires non negligible computations makes the schemes impractical. Also untappable channels, physically secure but unobservable communication channels, used in them make them unrealistic<sup>(3),(4)</sup>. A worse thing is that these schemes cannot achieve the complete receipt-freeness. Namely, authorities can know the random numbers and use them to link voters to their votes. Although tamper-resistant randomizer (TRR)<sup>(2)</sup>, a hardware device to generate random numbers for voters, achieves the complete anonymity of voters, TRR further worsens its practicality.

About the incoercibility, in existing schemes<sup>(5),(6),(7)</sup>, each voter constructs its encrypted vote while attaching the encrypted token assigned to it to submit its multiple votes without being traced by others. As a consequence, coercers cannot identify the exact vote of the voter. However, ZKP to confirm the equivalence of tokens corresponded to multiple votes of same voters sacrifices practicality and scalability.

Although e-voting schemes based on the blind signature<sup>(12),(13)</sup> do not exploit ZKP, usually these schemes cannot satisfy universal verifiability nor receipt-freeness because voters' blinding factors can be used as receipts of their votes, and therefore voters can

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prove their votes to buyers. Besides, these schemes assume the existence of anonymous channels which are impractical.

Regarding paper based voting schemes, visual cryptography based schemes had been proposed <sup>(8), (9), (11)</sup>. However, in these systems, voters must delegate their vote computations to the voting booth, therefore the voting booth can know the votes of the voters, by which the privacy of voters may be breached. Paper ballots prepared in advance do not guarantee privacy against the ballot creators either <sup>(9)</sup>. Although solutions exist for these problems <sup>(9)</sup>, they require NIZKP and assume the existence of recordable private channels which are impractical.

### 3. Contributions of the Proposed Scheme

To enable the proposed scheme to satisfy all the requirements, 3 security components had been newly developed; they are confirmation numbers (CNs), signature pairs on encrypted votes, and those on blinded tokens. Here CNs are unique and registered numbers that are publicly disclosed in their encrypted forms, and they are assigned to individual voters to make votes verifiable. Because all CNs are publicly disclosed, anyone can convince itself that votes attached by CNs are the ones submitted in the authorized way. Also by examining the used CNs, anyone can confirm that all submitted votes are counted. A signature pair on  $v$  is a pair of signatures on  $v$  generated by different signing keys and ensures authenticity of even meaningless  $v$  when it has a consistent signature pair. Figure 1 shows the roles of voter  $V_j$ , its vote  $v_j$ , and pairs of signatures on  $v_j$ , confirmation number  $C_{C_j}$  and token  $T_{ij}$  assigned to  $V_j$ .

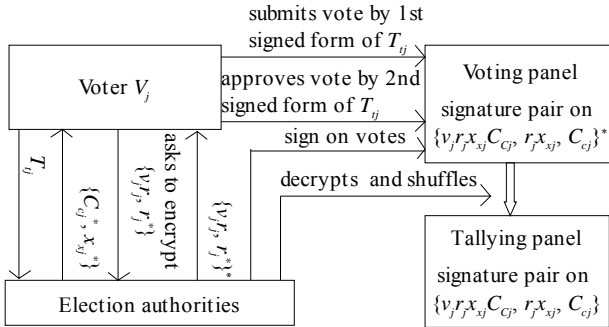


Fig. 1 Roles of  $V_j$ ,  $v_j$ , pairs of signatures on  $v_j$ ,  $C_{C_j}$  and  $T_{ij}$

Firstly,  $V_j$  that anonymously obtained signed token  $T_{ij}$  generates its vote  $v_j$ , secret random number  $r_j$  and its encrypted form  $r_j^*$  to ask election authorities to repeatedly encrypt pair  $\{v_j r_j, r_j^*\}$  to  $\{v_j r_j, r_j^*\}^*$ , and attaches  $x_{x_j}^*$  and  $C_{C_j}^*$ , encrypted unknown random number  $x_{x_j}$  and encrypted confirmation number  $C_{C_j}$  given by the authorities, to form encrypted triple  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}^*$ . Then, after  $V_j$ 's verification and approval of the correct encryption of  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}^*$  in voting panel, authorities repeatedly sign on  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}^*$  and decrypt it to 2 signed forms of  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}^*$  while shuffling it with other votes, and finally disclose it in tallying panel. Therefore,  $V_j$  can conceal  $v_j$  from others. Because  $r_j$  is the secret of  $V_j$ , only  $V_j$  can extract  $v_j$  from  $\{v_j r_j, r_j^*\}$ . Moreover, anyone including  $V_j$  and authorities cannot know the correspondence between  $V_j$  and publicly disclosed  $v_j$  in tallying panel *i.e.* any single entity cannot decrypt  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}^*$  without conspiring with all other authorities. Here in the vote approval process,  $V_j$  verifies whether  $C_{C_j}^*$  is registered or not also while examining publicly disclosed encrypted CNs.

In the above process,  $V_j$  can show its qualification for the

election without disclosing its identity by the 1st signatures of authorities on  $T_{ij}$ , because forging the signatures on  $T_{ij}$  is impossible.  $V_j$  can approve its submitting  $v_j$  also anonymously by the 2nd signatures on  $T_{ij}$ . Even after the 1st signatures are disclosed, the 2nd signatures cannot be generated illegitimately because different signing keys are used, therefore anyone can convince itself that  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}^*$  approved by the 2nd signatures is legitimate, in other words, if illegitimate votes are detected, they had been generated after the approvals, or voters cannot complain even if their privacies are revealed in processes for finding liable entities for inconsistent votes.

Here uniqueness of registered confirmation number  $C_{C_j}$  assigned to  $V_j$  and the signature pair on it enable any entity to verify the validity of finally disclosed  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}$ , *i.e.* when  $\{v_j r_j x_{x_j} C_{C_j}, r_j x_{x_j}, C_{C_j}\}$  includes registered  $C_{C_j}$  and has the valid signatures of multiple authorities, anyone can convince itself that  $v_j$  is the valid one. Because no one knows the signing keys of all authorities, the only ways to illegitimately generate a vote with consistent signatures are to copy it from other vote, or to disrupt a vote. However about the copying, both the original and the copy have the same CN, and this contradicts the uniqueness of CNs. Also anyone cannot disrupt signature pairs, so that both signatures reveal same values. Moreover CNs ensure that tallying panel includes only and all approved votes. Namely, firstly anyone can easily check that  $C_{C_j}^*$  in the voting panel is the registered one, secondly, the signature pair on  $C_{C_j}$  disclosed in tallying panel ensures that  $C_{C_j}$  is the correct decryption of CNs disclosed in voting panel, and thirdly, the numbers of CNs disclosed in voting and tallying panels ensure that all CNs in voting panel are decrypted. Of course it is possible for authorities to encrypt  $C_{C_j}$  to  $C_{C_j}^*$  dishonestly at the time when they generate CNs. However, dishonest encryptions are finally detected as duplicated CNs or disrupted CNs as mentioned before, and also liable authorities can be detected easily by forcing authorities to repeatedly encrypt inconsistent and unused CNs again.

In the above, if  $V_j$  knows the decrypted values of  $r_j$  or  $C_{C_j}$ , coercers can know  $V_j$ 's vote by asking them and finding the vote in tallying panel attached by  $r_j$  or  $C_{C_j}$ . In order to protect voters from these threats,  $r_j$  is multiplied by  $x_{x_j}$ , and  $x_{x_j}$  and  $C_{C_j}$  are repeatedly encrypted to  $x_{x_j}^*$  and  $C_{C_j}^*$ , so that no one including voters and authorities can know the corresponding  $x_{x_j}$  and  $C_{C_j}$ .

#### 3.1 Confirmation Numbers (CNs)

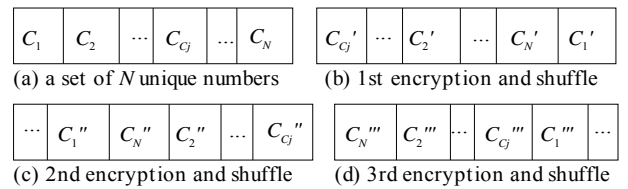


Fig. 2 Encryption steps of confirmation numbers

CNs attached to votes are encrypted repeatedly, so that no one can know their decrypted forms, and anyone including voters themselves cannot link voters to their votes attached by CNs. Firstly  $N$  unique numbers  $C_1, C_2, \dots, C_N$  ( $N$  is the number of voters) are generated as shown in Fig. 2 (a). Then  $P$  (at least 2) mutually independent authorities  $TM_1, \dots, TM_P$  repeatedly perform encryptions and shuffles of all CNs by using their

encryption keys, *i.e.*  $TM_1$  encrypts  $C_{C_j}$  to  $C_{C_j}'$  to be placed in random positions as shown in Fig. 2 (b). Then  $TM_2, TM_3, \dots$  execute the same operations repeatedly, *i.e.*  $C_{C_j}'$  is further converted to  $C_{C_j}'', C_{C_j}''', \dots$  as shown in Fig. 2 (c) and (d). Therefore, no entity can identify the link between  $C_{C_j}$  and its encrypted form  $C_{C_j}^*$  unless all  $TM_s$  conspire *i.e.* no one including  $V_j$  itself can identify  $V_j$  from  $C_{C_j}$ .

Here  $C_{C_j}' = E(K_1, C_{C_j}), C_{C_j}'' = E(K_2, C_{C_j}'), C_{C_j}''' = E(K_3, C_{C_j}'')$ ,  $\dots$ , provided that  $x$  is encrypted to  $E(K_i, x)$  by the encryption key  $K_i$  of  $TM_i$ . In the following repeatedly encrypted form  $C_{C_j}^*$  is denoted as  $E(K_*, C_{C_j}), i.e. E(K_*, C_{C_j}) = E(K_p, E(K_{p-1}, \dots E(K_1, C_{C_j}) \dots))$ . This multiple encryption is carried out based on the probabilistic and commutative re-encryption scheme described in Sec 3.4.

### 3.2 Signature Pairs on Encrypted Votes

To protect repeatedly encrypted vote  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*$  from modifications during the process where it is decrypted to  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}$  while being shuffled with other votes, multiple authorities  $TM_1, \dots, TM_p$  repeatedly sign on  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*$  by their signing keys  $\{M_1, M_2, \dots, M_p\}$ . In the followings  $S(M_*, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*) = S(M_p, S(M_{p-1}, \dots, S(M_1, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*) \dots))$  represents repeatedly signed form of  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*$ , where  $S(M_i, x)$  is the signature of  $TM_i$  on  $x$  generated by its signing key  $M_i$ . Then, when encrypted signed form  $S(M_*, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*)$  is successfully decrypted to signed form  $S(M_*, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\})$ , anyone can convince itself that  $TM_s$  had honestly decrypted  $S(M_*, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*)$ . However, this scheme is effective only when all voters put meaningful votes. When decryption result is meaningless, entities cannot determine whether  $TM_s$  are dishonest or  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}$  is meaningless from the beginning. A signature pair on  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*$  solves this problem. When each  $TM_i$  signs on  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*$  by its 2 different signing keys  $M_{(1)i}$  and  $M_{(2)i}$ , it is impossible for any entity to consistently generate 2 different signed forms  $S(M_{(1)*}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*) = S(M_{(1)p}, S(M_{(1)p-1}, \dots, S(M_{(1)1}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*) \dots))$  and  $S(M_{(2)*}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*) = S(M_{(2)p}, S(M_{(2)p-1}, \dots, S(M_{(2)1}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*) \dots))$  in unauthorized ways because each  $TM_i$  knows only its signing keys. Namely, anyone can convince itself that  $TM_s$  had decrypted  $S(M_{(1)*}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*)$  to  $S(M_{(1)*}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\})$  honestly, when 2 forms  $S(M_{(1)*}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*)$  and  $S(M_{(2)*}, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*)$  reveal same  $\{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}$ . These signatures are also generated based on the probabilistic and commutative re-encryption scheme.

### 3.3 Signature Pairs on Blinded Tokens

To show its eligibility anonymously, voter  $V_j$  encrypts its token  $T_{ij}$  to  $E(a_j, T_{ij})$  by using its secret key  $a_j$ , and while confirming the identity of  $V_j$  by usual means *e.g.* through an *ID* and a password of  $V_j$ , authorities  $TM_1, \dots, TM_p$  blindly sign on  $E(a_j, T_{ij})$  to generate 2 different sets *i.e.*  $\{S(X_{(1)1}, E(a_j, T_{ij})), \dots, S(X_{(1)p}, E(a_j, T_{ij}))\} = S(X_{(1)*}, E(a_j, T_{ij}))$  and  $\{S(X_{(2)1}, E(a_j, T_{ij})), \dots, S(X_{(2)p}, E(a_j, T_{ij}))\} = S(X_{(2)*}, E(a_j, T_{ij}))$  by using their signing keys  $\{X_{(1)1}, X_{(1)2}, \dots, X_{(1)p}\}$  and  $\{X_{(2)1}, X_{(2)2}, \dots, X_{(2)p}\}$ , and  $V_j$  decrypts them into 2 unblinded sets of signed tokens *i.e.*  $\{S(X_{(1)1}, T_{ij}), \dots, S(X_{(1)p}, T_{ij})\} = S(X_{(1)*}, T_{ij})$  and  $\{S(X_{(2)1}, T_{ij}), \dots, S(X_{(2)p}, T_{ij})\} = S(X_{(2)*}, T_{ij})$ . Then, because  $TM_s$  had signed without knowing  $T_{ij}$ , anyone except  $V_j$  cannot know  $V_j$  from  $S(X_{(1)*}, T_{ij})$  and  $S(X_{(2)*}, T_{ij})$ .

### 3.4 Probabilistic and Commutative Re-encryptions

A multiple encryption and signing scheme for votes and  $CNs$  described in Secs. 3.1 and 3.2 can be implemented based on the

probabilistic and commutative encryption algorithm with homomorphic property, proposed in (15). In the election, different voters may choose the same candidates, therefore the encryption function must be probabilistic; if not probabilistic, same candidates are encrypted into same forms, and a voter can know votes of other voters who had chosen the same candidate even they are encrypted. Also to ensure the authenticity of votes, the encryption and signing algorithms must be commutative. When they are not commutative, the signed form of encrypted vote  $S(M_*, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\}^*)$  cannot be decrypted to  $S(M_*, \{v_j r_j x_{ij} C_{C_j}, r_j x_{ij}, C_{C_j}\})$ . In Sec. 3.1  $CNs$  are encrypted without being mixed with random numbers. Because all  $CNs$  are unique and all of their encrypted forms are different, probabilistic encryption is not necessary.

To use re-encryption scheme proposed in (15), each authority  $TM_i$  defines its encryption and decryption key pairs  $\{K_i, F_i\}$  and  $\{H_i, G_i\}$ , while selecting 2 large appropriate integers  $p_1$  and  $p_2$ , where for any integer  $u$  and  $w$ ,  $u^{K_i F_i} \pmod{p_1} = u \pmod{p_1}$  and  $w^{H_i G_i} \pmod{p_2} = w \pmod{p_2}$ . Then  $TM_i$  encrypts  $x$  to  $E(\{K_i, H_i\}, \{x, r\}) = \{E(K_i, xr) = (xr)^{K_i}, E(H_i, r) = r^{H_i}\}$  while mixing  $x$  with random secret number  $r$  as shown in Fig. 3 *i.e.* the encrypted form consists of a pair of data part  $E(K_i, xr)$  and a randomization part  $E(H_i, r)$ . Here, the key pairs are kept as  $TM_i$ 's secrets, in order to enable each  $TM_i$  to securely use its key pairs under the environment where multiple authorities share the same modulo arithmetic. When key  $K_i$  is disclosed, it is easy for  $TM_j$  to calculate  $TM_i$ 's decryption key  $F_i$  from the relation  $K_i F_i \pmod{\phi(p_1)} = K_i F_j \pmod{\phi(p_1)}$  where  $\phi(p_1) = p_1 - 1$  when  $p_1$  is a prime number, for example. In the following  $u^{K_i}, w^{H_i}, u^{K_1 \dots K_P}$  and  $w^{H_1 \dots H_P}$  are denoted as  $E(K_i, u), E(H_i, w), E(K_*, u)$  and  $E(H_*, w)$  respectively.

data part	randomization part
$E(K_i, xr) = (xr)^{K_i} \pmod{p_1}$	$E(H_i, r) = r^{H_i} \pmod{p_2}$

Fig. 3  $E(\{K_i, H_i\}, \{x, r\})$  encrypted form of  $x$

Based on the above scheme voter  $V_j$  encrypts its vote  $v_j$  to  $E(\{K_i, H_i\}, \{v_j, r_j\})$ , while generating its secret random number  $r_j$  and asking  $TM_1, \dots, TM_p$  to encrypt  $v_j r_j$  and  $r_j^{L_j}$ , where  $\{L_j, Z_j\}$  is a secret encryption and decryption key pair of  $V_j$ , and  $TM_s$  cannot calculate  $v_j$  from  $v_j r_j$  and  $r_j^{L_j}$ , because  $r_j$  is secret of  $V_j$  and the calculation of  $r_j$  from  $r_j^{L_j}$  is a discrete logarithm problem. Then  $TM_1, \dots, TM_p$  repeatedly encrypt the pair  $\{v_j r_j, r_j^{L_j}\}$ , *i.e.* calculate  $E(K_*, v_j r_j)$  and  $E(H_*, r_j^{L_j})$  by their encryption keys  $K_1, \dots, K_p$  and  $H_1, \dots, H_p$ , and finally  $V_j$  calculates  $E(H_*, r_j^{L_j Z_j}) = E(H_*, r_j)$  to construct its repeatedly encrypted vote as  $E(\{K_*, H_*, \{v_j, r_j\}\}) = \{E(K_*, v_j r_j), E(H_*, r_j)\}$ .  $E(\{K_*, H_*, \{v_j, r_j\}\})$  can be decrypted into  $v_j$  by calculating  $E(K_*, v_j r_j)^{F_1 \dots F_P} = (v_j r_j)^{(K_1 \dots K_P)(F_1 \dots F_P)} = v_j r_j$  and  $E(H_*, r_j)^{(G_1 \dots G_P)} = r_j^{(H_1 \dots H_P)(G_1 \dots G_P)} = r_j$  by decryption keys  $F_1, \dots, F_P$  and  $G_1, \dots, G_P$ , and by dividing  $v_j r_j$  by  $r_j$ .

For the confirmation of correct encryptions of  $TM_s$ ,  $V_j$  asks  $TM_1, \dots, TM_p$  to decrypt  $E(K_*, (v_j r_j)^{A_j})$  and  $E(H_*, r_j^{B_j})$ , where  $\{A_j, B_j\}$  are secret random numbers of  $V_j$ . Here,  $TM_1, \dots, TM_p$  cannot decrypt  $E(K_*, (v_j r_j)^{A_j})$  and  $E(H_*, r_j^{B_j})$  into  $(v_j r_j)^{A_j}$  and  $r_j^{B_j}$  when they calculate  $E(K_*, v_j r_j)$  and  $E(H_*, r_j^{L_j})$  dishonestly, because they do not know  $A_j, B_j, v_j r_j$  or  $r_j$ . Therefore although  $K_i$  and  $H_i$  of each  $TM_i$  are secret,  $V_j$  can confirm the correctness of encryptions as same as it is using public keys. It is apparent that this encryption scheme is probabilistic and commutative. Fortunately, it is also homomorphic, *e.g.*  $E(K_*, x_1)E(K_*, x_2) = x_1^{K_1 \dots K_P} x_2^{K_1 \dots K_P} = E(K_*,$

$x_1x_2$ ) and  $E(H^*, y_1)E(H^*, y_2) = y_1^{H_1 \dots H_P} y_2^{H_1 \dots H_P} = E(H^*, y_1 y_2)$ .

In the above, as  $V_j$  knows  $r_j$ , coercers can use this  $r_j$  to identify  $V_j$ 's vote. To disable vote identification,  $V_j$  also multiplies its vote  $v_j$  by random number  $x_{xj}$  that is not known to anyone, where  $x_{xj} = x_{xj1}x_{xj2} \dots x_{xjp}$ . Namely, each  $TM_i$  generates its secret random number  $x_{xji}$ , and encrypts  $x_{xji}$  by its encryption keys  $K_i$  and  $H_i$  i.e. calculates  $\{E(K_i, x_{xji}), E(H_i, x_{xji})\}$  and asks other authorities to calculate  $\{E(K^*, x_{xji}), E(H^*, x_{xji})\}$ . Then by using the homomorphic property,  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$  is generated by multiplying  $P$  different  $\{E(K^*, x_{xji}), E(H^*, x_{xji})\}$ . Because each  $TM_i$  knows only  $x_{xji}$ , no one can know the decrypted form of  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$ . For the confirmation of correct encryptions of  $x_{xj}$ ,  $V_j$  calculates  $\{E(K^*, x_{xji}), E(H^*, x_{xji}^{B_j})\}$  to ask  $TM_1, \dots, TM_P$  to decrypt them i.e. to calculate  $E(K^*, x_{xji}^{F_1 \dots F_P}) = x_{xji}$  and  $E(H^*, x_{xji}^{B_j G_1 \dots G_P}) = x_{xji}^{B_j}$ , for randomly selected  $i$ , and  $V_j$  checks the consistency between  $x_{xji}$  and  $x_{xji}^{B_j}$ . When  $E(K^*, x_{xji})$  and  $E(H^*, x_{xji})$  are calculated dishonestly,  $TM_1, \dots, TM_P$  cannot decrypt  $E(K^*, x_{xji})$  and  $E(H^*, x_{xji}^{B_j})$  into  $x_{xji}$  and  $x_{xji}^{B_j}$  because they do not know  $B_j$  or  $x_{xji}$ . Because  $(P-1)$  remaining  $x_{xji}$ s are still unknown to anyone except  $TM_i$ , no one can know the decrypted form of  $x_{xj}$  unless all  $TM$ s conspire, and  $V_j$  can calculate  $E(\{K^*, H^*\}, \{v_j, r_j x_{xj}\}) = \{E(K^*, v_j r_j x_{xj}), E(H^*, r_j x_{xj})\}$  while making  $r_j x_{xj}$  unknown to anyone. Here, to maintain the equality of 2 forms of  $x_{xj}$ , i.e.  $x_{xj} \pmod{p_1}$  and  $x_{xj} \pmod{p_2}$ , each  $x_{xji}$  must be defined so that  $x_{xj}$  is less than  $p_1$  and  $p_2$ .

Repeatedly signing mechanisms on re-encrypted forms can be implemented in the same way. However, each  $TM_i$  can calculate signing keys  $\{M_{(1)k}, M_{(2)k}\}$  of other  $TM_k$  when its verification keys  $\{U_{(1)k}, U_{(2)k}\}$  are disclosed from the relation  $M_{(1)k} = M_{(1)i}U_{(1)i}U_{(1)k}$  and  $M_{(2)k} = M_{(2)i}U_{(2)i}U_{(2)k}$ . Therefore, verification keys must be disclosed only after all votes are decrypted. In the proposed scheme all votes are put in bulletin board ( $BB$ ), where a  $BB$  is a public broadcast channel with memories, and information sent to a  $BB$  is readable by anyone and at anytime. Then, no one can forge signatures on votes in  $BB$  even the signing keys are revealed i.e. before the disclosure of verification keys, no one knows all signing keys; and after the disclosure of verification keys, votes are already decrypted and cannot be modified. Here,  $V_j$  can verify the correctness of signatures without knowing the verification keys in the same way as in the encryption processes.

Probabilistic and commutative re-encryption schemes also can be constructed based on ElGamal or threshold ElGamal encryption. However, to identify dishonest authorities without disclosing privacies of voters, ElGamal based schemes require complicated ZKP processes.

In the remainder,  $E(\{K^*, H^*\}, \{v_j, r_j x_{xj}\})$  is denoted as  $E(\{K^*, H^*\}, v_j)$  to make notations comprehensive.

#### 4. Configuration of the Voting Scheme

Entities involved in the scheme are  $N$  voters  $V_j$  ( $j = 1, \dots, N$ ), Voting manager  $VM$ ,  $P$  (at least 2) mutually independent Tallying managers  $TM_i$  ( $i = 1, \dots, P$ ), Disruption detection manager  $DM$  and 6 public  $BB$ s that maintain authorized communication transcripts i.e. *VoterList*, *TokenList*, *ConfNoList*, *ActiveTokenList*, *VotingPanel* and *TallyingPanel*. Figure 4 depicts the configurations of individual  $BB$ s. By putting relevant information on several  $BB$ s, interactions among the entities at every stage of the election become publicly verifiable. In the followings  $V_j$  is the  $j$ -th voter,  $v_j$  is the vote of  $V_j$ , and  $C_{Cj}$ ,  $T_{ij}$  and  $x_{xj}$  are the  $CN$ , token and unknown random number assigned to  $V_j$ .  $ID_j$  and  $P/W_j$  are the identifier and password of  $V_j$ . The roles of each entity and the  $BB$ s

are as follows:

**Voter  $V_j$ :** Each  $V_j$  generates its encrypted vote  $E(\{K^*, H^*\}, v_j C_{Cj})$  while combining its vote  $v_j$  with its assigned encrypted  $C_{Cj}$  i.e.  $E(K^*, C_{Cj})$ , and puts and approves it in *VotingPanel*. It has its own identifier  $ID_j$  and password  $P/W_j$  for proving its eligibility, and 2 secret encryption and decryption key pairs  $\{a_j, g_j\}$  and  $\{L_j, Z_j\}$ .  $\{a_j, g_j\}$  is used to acquire 2 different forms of signatures of all  $TM$ s on its token  $T_{ij}$  blindly i.e.  $S(X_{(1)^*}, T_{ij})$  and  $S(X_{(2)^*}, T_{ij})$ , and key pair  $\{L_j, Z_j\}$  is used to ask  $TM$ s to encrypt vote  $v_j$  without disclosing  $v_j$  itself.

**Voting manager  $VM$ :**  $VM$  is responsible for authenticating voters, for assigning  $CN$ s to voters, and for putting encrypted votes of voters in *VotingPanel*. It also puts other data about voters and votes in *VoterList*, *TokenList*, *ConfNoList* and *ActiveTokenList*.  $VM$  can be constructed by multiple independent entities to distribute its responsibility if necessary.

**Tallying managers  $TM$ s:** Mutually independent  $P$  ( $P \geq 2$ )  $TM$ s sign on blinded tokens, perform encryptions and shuffles of  $CN$ s and votes, repeatedly sign on encrypted votes and encrypted  $CN$ s in *VotingPanel*, and perform decryptions and shuffles of votes in *VotingPanel* to compute the tally and to put results on *TallyingPanel*. For encryption and decryption of votes and  $CN$ s, each  $TM_i$  has 2 encryption and decryption key pairs  $\{K_i, F_i\}$  and  $\{H_i, G_i\}$ . Also to sign on blinded token  $E(a_j, T_{ij})$ ,  $TM_i$  has 2 signing and verification key pairs i.e.  $\{X_{(1)i}, B_{(1)i}\}$  and  $\{X_{(2)i}, B_{(2)i}\}$ , and to repeatedly sign on encrypted votes and encrypted  $CN$ s in 2 different forms, it has 4 secret signing and verification key pairs  $\{M_{(1)i}, U_{(1)i}\}, \{Q_{(1)i}, W_{(1)i}\}$  and  $\{M_{(2)i}, U_{(2)i}\}, \{Q_{(2)i}, W_{(2)i}\}$ .

**Disruption detection manager  $DM$ :**  $DM$  detects inconsistent votes in *TallyingPanel*, and when inconsistencies are detected it identifies the entities that cause the inconsistencies.

ID	token	token	flag	CN	random number	token	CN
$ID_1$	$E(a_1, T_{1i})$	$T_{1i}$	unused	$E(K_{s,C_k})$	$E(K_{s,x_k}), E(H_{s,x_k})$	$S(X_{(1)^*}, T_{1i})$	$E(K_{s,C_k})$
$ID_j$	$E(a_j, T_{ij})$	$T_{ij}$	used	$E(K_{s,C_{Cj}})$	$E(K_{s,x_{xj}}), E(H_{s,x_{xj}})$	$S(X_{(1)^*}, T_{ij})$	$E(K_{s,C_{Cj}})$
$ID_N$	$E(a_N, T_{Ni})$	$T_{Ni}$	used	$E(K_{s,C_{Cj}})$	$E(K_{s,x_{xj}}), E(H_{s,x_{xj}})$	$S(X_{(1)^*}, T_{Ni})$	$E(K_{s,C_{Cj}})$

(a) VoterList      (b) TokenList      (c) ConfNoList      (d) ActiveTokenList

vote	approval	vote	CN
$S(\{M_{(1)^*}, Q_{(1)^*}\}, E(\{K_{s,H_s}\}, v_j C_{Cj})), S(M_{(1)^*}, E(K_{s,C_{Cj}})), S(\{M_{(2)^*}, Q_{(2)^*}\}, E(\{K_{s,H_s}\}, v_j C_{Cj}))$	$S(X_{(2)^*}, T_{ij})$	$S(\{M_{(1)^*}, Q_{(1)^*}\}, v_j C_{Cj})$	$S(M_{(1)^*}, C_{Cj})$
$S(\{M_{(1)^*}, Q_{(1)^*}\}, E(\{K_{s,H_s}\}, v_j C_{Cj})), S(M_{(1)^*}, E(K_{s,C_{Cj}})), S(\{M_{(2)^*}, Q_{(2)^*}\}, E(\{K_{s,H_s}\}, v_j C_{Cj}))$	$S(X_{(2)^*}, T_{ij})$	$S(\{M_{(1)^*}, Q_{(1)^*}\}, v_j C_{Cj})$	$S(M_{(1)^*}, C_{Cj})$
$S(\{M_{(1)^*}, Q_{(1)^*}\}, E(\{K_{s,H_s}\}, v_j C_{Cj})), S(M_{(1)^*}, E(K_{s,C_{Cj}})), S(\{M_{(2)^*}, Q_{(2)^*}\}, E(\{K_{s,H_s}\}, v_j C_{Cj}))$	$S(X_{(2)^*}, T_{ij})$	$S(\{M_{(1)^*}, Q_{(1)^*}\}, v_j C_{Cj})$	$S(M_{(1)^*}, C_{Cj})$

(e) VotingPanel      (f) TallyingPanel

Fig. 4 Configurations of bulletin boards.

**VoterList:** *VoterList* consists of  $ID$  and token parts.  $ID$  part maintains  $ID$ s of eligible voters, and  $VM$  puts  $E(a_j, T_{ij})$ , a token encrypted by voter  $V_j$ , at the token part corresponding to  $V_j$ 's  $ID$  when  $V_j$  shows it to obtain  $TM$ s' signatures on it as shown in Fig. 4 (a). Therefore anyone can know voters who had acquired signatures of  $TM$ s on their tokens. However no one except voters themselves can know tokens on which  $TM$ s had signed.

**TokenList:** *TokenList* consists of the token and flag parts, and enables voters to acquire tokens without collision. The token part maintains tokens i.e. unique numbers prepared by  $VM$ . When  $V_j$  picks unused token  $T_{ij}$  from *TokenList* anonymously,  $VM$  makes the corresponding flag part used as shown in Fig. 4 (b).

**ConfNoList:** It consists of  $CN$  and random number parts, and for  $N$  voters,  $N$  different  $CNs$  and unknown random numbers are generated and each  $CN$  and random number pair  $\{C_{Cj}, x_{xj}\}$  is encrypted to  $E(K^*, C_{Cj})$  and  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$  to be posted here at random by  $VM$  as shown in Fig. 4 (c).

**ActiveTokenList:** It consists of the token and the  $CN$  parts, and enables anyone to know anonymous  $V_j$  who had been assigned  $C_{Cj}$  in its encrypted form. The  $t_j$ -th position of the token part maintains the 1st signed form of the  $t_j$ -th token  $T_{ij}$  i.e.  $S(X_{(1)^*}, T_{ij})$ . The corresponding  $CN$  part maintains encrypted  $C_{Cj}$ ,  $CN$  assigned to the voter who obtains  $T_{ij}$  i.e.  $E(K^*, C_{Cj})$  as shown in Fig. 4 (d). Here, by comparing the items in *ActiveTokenList*, *ConfNoList* and *VoterList*, anyone can verify that only voters with their signed tokens acquire  $CNs$ , and  $VM$  is not misusing or adding any extra  $CN$  illegally.

**VotingPanel:** *VotingPanel* consists of the vote and the approval parts, and enables anyone to know encrypted votes approved by their voters. The vote part corresponding to the  $t_j$ -th position maintains 2 different signed forms of encrypted vote of the voter to whom the  $t_j$ -th token  $T_{ij}$  is assigned. Namely it maintains anonymous  $V_j$ 's encrypted vote  $v_j$  repeatedly signed by 2 secret signing key pairs  $\{M_{(1)^*}, Q_{(1)^*}\}$  and  $\{M_{(2)^*}, Q_{(2)^*}\}$  of all  $TM_i$  and encrypted  $C_{Cj}$  in the 1st signed form i.e.  $S(\{M_{(1)^*}, Q_{(1)^*}\}, E(\{K^*, H^*\}, v_j C_{Cj}))$ ,  $S(\{M_{(2)^*}, Q_{(2)^*}\}, E(\{K^*, H^*\}, v_j C_{Cj}))$  and  $S(M_{(1)^*}, E(K^*, C_{Cj}))$ , and the approval part maintains the 2nd signed form of  $T_{ij}$  i.e.  $S(X_{(2)^*}, T_{ij})$  as shown in Fig. 4 (e). In the above,  $S(\{M_{(h)^*}, Q_{(h)^*}\}, E(\{K^*, H^*\}, x))$  represents pair  $\{S(M_{(h)^*}, E(K^*, xr)), S(Q_{(h)^*}, E(H^*, r))\}$  for  $h = 1$  and  $2$ , provided that  $r$  is a secret random number used for encrypting  $x$  to  $E(\{K^*, H^*\}, x)$ .

**TallyingPanel:** *TallyingPanel* consists of the vote part and the  $CN$  part and enables anyone to know the election results. It maintains decrypted data of *VotingPanel* i.e. the vote part maintains  $\{S(\{M_{(1)^*}, Q_{(1)^*}\}, v_j C_{Cj}), S(\{M_{(2)^*}, Q_{(2)^*}\}, v_j C_{Cj})\}$  and the  $CN$  part maintains  $S(M_{(1)^*}, C_{Cj})$  as shown in Fig. 4 (f). Based on  $CNs$ , anyone can verify that only and all votes from eligible voters are included in *TallyingPanel*. However, because votes on *VotingPanel* are decrypted while being shuffled to be put on *TallyingPanel*, no one can identify linkages between voters and their votes.

#### 4.1 Overview of the Scheme

The proposed voting scheme consists of 5 stages as follows. The relationships and the data flows among the entities are shown in Fig. 5.

**Token acquisition:** Anonymously authenticated voter  $V_j$  picks unique token  $T_{ij}$  while maintaining tokens collision free.

**Registration:** Voter  $V_j$  whose eligibility is checked by its identifier  $ID_j$  and password  $P/W_j$  obtains 2 kinds of blind signatures of Tallying managers on  $T_{ij}$  i.e.  $S(X_{(1)^*}, E(a_j, T_{ij}))$  and  $S(X_{(2)^*}, E(a_j, T_{ij}))$ . Therefore later on  $V_j$  can prove its eligibility by showing decrypted signatures  $S(X_{(1)^*}, T_{ij})$  and  $S(X_{(2)^*}, T_{ij})$ , without disclosing its identity. Here  $a_j$  is a secret encryption key of  $V_j$ .

**Voting:** This stage consists of 2 sub-stages.

**CN assignment:**  $V_j$  proves its eligibility by showing  $S(X_{(1)^*}, T_{ij})$  and obtains repeatedly encrypted confirmation number  $C_{Cj}$  i.e.  $E(K^*, C_{Cj})$  and encrypted unknown random number  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$  from Voting manager  $VM$ . Also,  $V_j$  verifies the correctness of encryption of  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$ .

**Vote submission:**  $V_j$  asks Tallying managers to repeatedly encrypt its vote  $v_j$  to  $E(\{K^*, H^*\}, v_j)$  while randomizing it by secret numbers  $r_j$  and  $x_{xj}$  and verifies its correctness. Then  $V_j$  calculates

$\{E(\{K^*, H^*\}, v_j C_{Cj}), E(K^*, C_{Cj})\}$  while combining  $E(\{K^*, H^*\}, v_j)$  with its assigned  $E(K^*, C_{Cj})$  and submits it as its vote, and  $TM_1, \dots, TM_P$  sign on them by the 1st form of their signatures i.e. calculate  $S(\{M_{(1)^*}, Q_{(1)^*}\}, E(\{K^*, H^*\}, v_j C_{Cj}))$  and  $S(M_{(1)^*}, E(K^*, C_{Cj}))$ . After verifying its vote on *VotingPanel*,  $V_j$  approves the registration of its vote by  $S(X_{(2)^*}, T_{ij})$ , and finally  $TM_1, \dots, TM_P$  sign on  $E(\{K^*, H^*\}, v_j C_{Cj})$  by the 2nd form of their signatures i.e. calculate  $S(\{M_{(2)^*}, Q_{(2)^*}\}, E(\{K^*, H^*\}, v_j C_{Cj}))$ .

**Tallying:** Multiple decryptions and shuffles of votes in *VotingPanel* by Tallying managers compute the election results and they are disclosed on *TallyingPanel* while concealing links between votes in *VotingPanel* and *TallyingPanel*. However  $CNs$  attached to individual votes ensure that all and only eligible votes are counted.

**Disruption detection:** If inconsistency is found for any disclosed vote, the responsible entity is identified while maintaining the privacy of voters.

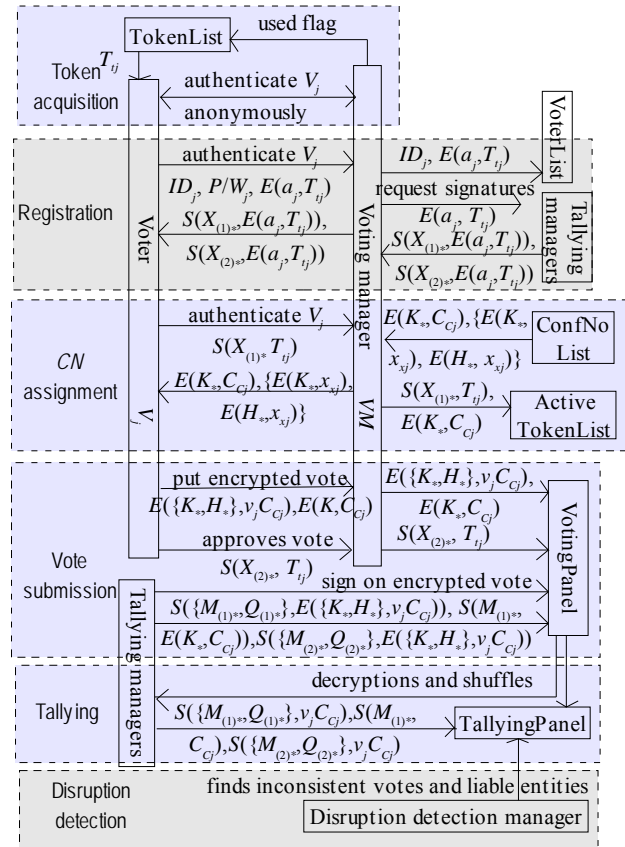


Fig. 5 Relationships and data flow among entities

## 5. Individual Stages of the Scheme

Individual stages of the scheme proceed as follows:

### 5.1 Token Acquisition Stage

An objective of this stage is to assign voter  $V_j$  a token  $T_{ij}$  which is unique in the system while maintaining anonymity of  $V_j$ . To achieve this objective, anonymously authenticated  $V_j$  picks  $T_{ij}$  from *TokenList*. Here, more than  $N$  different numbers are generated as tokens in advance and they are put in *TokenList* to be picked by voters; where  $N$  is the number of eligible voters. To enforce  $V_j$  to pick  $T_{ij}$  from *TokenList*, every  $T_{ij}$  has the signature of

$VM$  (this signature is different from  $S(X_{(1)*}, T_{ij})$  and  $S(X_{(2)*}, T_{ij})$ , and ensures that  $T_{ij}$  is picked from  $TokenList$ ). However tokens in  $TokenList$  are open to the public only in non-signed forms to disable entities to pick them in unauthorized ways. Theoretically,  $V_j$  authentication is not necessary. But by protecting  $T_{ij}$  from being picked by unauthorized entities, it becomes possible to make  $TokenList$  as small as possible *i.e.* unauthorized entities cannot request tokens.  $V_j$  and  $VM$  interact as follows:

1.  $VM$  authenticates eligible  $V_j$  anonymously *e.g.* through anonymous authentication mechanism<sup>(10)</sup>.
2. Authenticated  $V_j$  picks unused token  $T_{ij}$  from  $TokenList$ , and  $VM$  signs on  $T_{ij}$  (this signature is omitted in the following notations).
3. In order to avoid collision,  $VM$  makes  $T_{ij}$  in  $TokenList$  used as shown in Fig. 4 (b).

Security problems of this stage are solved as below:

- *Voters may get multiple tokens:* Because only tokens with signatures of Tallying managers, which are given at the registration stage while confirming the eligibility of individual voters, are effective, voters can use only single tokens even they get multiple tokens.
- *Voters may not get tokens:* As multiple tokens cause no inconvenience,  $V_j$  can request  $T_{ij}$  assignment repeatedly.

## 5.2 Registration Stage

Objectives of this stage are: (1) to let Tallying managers sign on token  $T_{ij}$  that is shown by eligible voter  $V_j$  without knowing  $T_{ij}$  itself<sup>(14)</sup>, so that  $V_j$  can show its eligibility anonymously by it at the later stages, and (2) to let all entities know  $V_j$  who is assigned signed  $T_{ij}$ . To make voters that obtain signed tokens publicly visible,  $VM$  maintains  $VoterList$ , as shown in Fig. 4 (a), but at this stage  $V_j$  shows  $T_{ij}$  in its blinded form, *i.e.*  $VM$  puts  $E(a_j, T_{ij})$  in  $VoterList$ . Therefore anyone can monitor  $V_j$  who is registered, however, only  $V_j$  knows its token  $T_{ij}$ . As a consequence,  $V_j$  can abstain from vote submission without being noticed even it is registered in  $VoterList$  for example. The interactions between  $V_j$  and  $VM$  in this stage are as follows:

1.  $V_j$  encrypts  $T_{ij}$  by using its secret encryption key  $a_j$  *i.e.*  $V_j$  calculates  $E(a_j, T_{ij})$ .
2.  $V_j$  shows its  $ID_j$ ,  $P/W_j$  and its blinded token  $E(a_j, T_{ij})$  to  $VM$ .
3.  $VM$  authenticates  $V_j$  and post  $E(a_j, T_{ij})$  in  $VoterList$  so that anyone can know that  $V_j$  has been registered.  $VM$  also sends  $E(a_j, T_{ij})$  to Tallying managers for their signatures.
4. Mutually independent  $TM_1, \dots, TM_p$  sign on  $E(a_j, T_{ij})$  with their 2 different signatures *i.e.* calculate  $S(X_{(1)*}, E(a_j, T_{ij}))$  and  $S(X_{(2)*}, E(a_j, T_{ij}))$  and sends them to  $VM$  to be sent to  $V_j$ .
5.  $V_j$  checks the validity of signatures on  $T_{ij}$ .

Here the 3rd step ensures that ineligible voters cannot obtain signed tokens and even eligible voters cannot get multiple signed tokens. Also the 4th step ensures that anyone cannot forge signed tokens unless all  $TMs$  conspire. Security problems of this stage are as follows:

- *Multiple entities request signatures on  $T_{ij}$  picked by  $V_j$ :* By this threat,  $V_j$ 's vote will be rejected. There are 2 possibilities, the 1st one occurs when signed  $T_{ij}$  is stolen, however  $V_j$  is responsible for that. The other possibility is a case where  $VM$  uses signed  $T_{ij}$ . This possibility can be excluded, if necessary, by duplicating  $VM$ , *i.e.* no entity can obtain signatures of all  $TMs$  on  $T_{ij}$  in unauthorized ways unless all  $VMs$  conspire.
- *Voters cannot get correct signed tokens:*  $V_j$  can prove

$VM$ 's dishonesty by showing  $E(a_j, T_{ij})$  and the incorrect signed token.

## 5.3 Voting Stage

This stage consists of 2 sub-stages, which are: i)  $CN$  assignment and ii) Vote submission.

### 5.3.1 CN Assignment Sub-Stage

In this sub-stage: (1) Voting manager  $VM$  authenticates voter  $V_j$  anonymously by signed token  $S(X_{(1)*}, T_{ij})$ , and (2)  $V_j$  receives encrypted  $C_{Cj}$  *i.e.*  $E(K^*, C_{Cj})$  and encrypted unknown random number  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$ . While  $VM$  sends  $E(K^*, C_{Cj})$  to  $V_j$ , it also discloses  $E(K^*, C_{Cj})$  and  $S(X_{(1)*}, T_{ij})$  in  $ActiveTokenList$ . Here as shown in Sec 3.1, anyone even  $V_j$  itself cannot identify the correspondence between original  $C_{Cj}$  and  $E(K^*, C_{Cj})$ , and hence between  $C_{Cj}$  and  $V_j$ . However, because  $CNs$  are unique and registered, and no one can forge signatures of all Tallying managers on them, any entity can confirm the accuracy of votes by  $CNs$  disclosed in  $TallyingPanel$ . The interactions between  $V_j$  and  $VM$  in this sub-stage are as follows:

1.  $V_j$  submits  $S(X_{(1)*}, T_{ij})$  to  $VM$ , and  $VM$  checks the validity of  $S(X_{(1)*}, T_{ij})$ . Here  $VM$  can verify the authenticity of  $V_j$  by checking only the signatures on  $T_{ij}$  that is not used repeatedly.
2.  $VM$  sends  $E(K^*, C_{Cj})$  and  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$  to  $V_j$ , and  $V_j$  verifies the correctness of encryption of  $\{E(K^*, x_{xj}), E(H^*, x_{xj})\}$ .
3.  $VM$  also puts  $S(X_{(1)*}, T_{ij})$  and  $E(K^*, C_{Cj})$  in  $ActiveTokenList$  as shown in Fig. 4 (d).

Security problems of this sub-stage are as follows:

- *$VM$  may put signed tokens in  $ActiveTokenList$  before voters:*  $VM$  knows neither of  $V_j$ 's secret key nor the signing keys of all  $TMs$ , therefore it cannot generate  $S(X_{(1)*}, T_{ij})$  from  $S(X_{(1)*}, E(a_j, T_{ij}))$  or  $T_{ij}$  to put it before  $V_j$ .
- *$VM$  may not put signed token in  $ActiveTokenList$ :*  $VM$  cannot deny putting of  $S(X_{(1)*}, T_{ij})$  on  $ActiveTokenList$  because  $S(X_{(1)*}, T_{ij})$  has the signatures of all  $TMs$ .
- *$VM$  may not give  $C_{Cj}$ , or give incorrect  $C_{Cj}$  to  $V_j$ :* As  $S(X_{(1)*}, T_{ij})$  is open to the public,  $VM$  cannot deny giving of  $C_{Cj}$ . Also as  $E(K^*, C_{Cj})$  is open on  $ConfNoList$ ,  $VM$  cannot give non-registered  $C_{Cj}$ . Although it is possible that  $TMs$  encrypt  $C_{Cj}$  incorrectly, this dishonesty and the responsible entities are detected at the disruption detection stage, therefore  $TMs$  cannot encrypt  $CNs$  incorrectly.

### 5.3.2 Vote Submission Sub-stage

In this sub-stage: (1) anonymous voter  $V_j$  submits its verifiable secret vote, (2) Tallying managers  $TM_1, \dots, TM_p$  repeatedly sign on the vote, (3) after confirming the successful registration of the vote on  $VotingPanel$ ,  $V_j$  approves its vote by putting the 2nd signed form of  $T_{ij}$  *i.e.*  $S(X_{(2)*}, T_{ij})$  in  $VotingPanel$  as shown in Fig. 4 (e), and (4) finally  $TMs$  repeatedly sign on the vote by the 2nd form of their signatures. Here,  $E(K^*, v_j r_j x_{xj})$  and  $E(H^*, r_j x_{xj})$  are computed as the product of  $E(K^*, v_j r_j)$  and  $E(K^*, x_{xj})$ , and  $E(H^*, r_j)$  and  $E(H^*, x_{xj})$  respectively, and vote  $E(\{K^*, H^*\}, v_j C_{Cj})$  is constructed as the product of  $E(K^*, v_j r_j x_{xj})$  and  $E(K^*, C_{Cj})$ . As  $V_j$  asks  $TMs$  to encrypt  $v_j r_j$  instead of  $v_j$  while generating secret random number  $r_j$ ,  $TM_1, \dots, TM_p$  cannot know  $v_j$ . Also encrypted  $v_j r_j$  is further multiplied by encrypted  $x_{xj}$ , of which decrypted value is not known to anyone; therefore even  $V_j$  cannot identify its vote at the tallying stage. About the approval of votes, because no one except  $V_j$  knows  $S(X_{(2)*}, T_{ij})$  even after  $S(X_{(1)*}, T_{ij})$  had been disclosed, only  $V_j$  can approve its vote, consequently  $V_j$  cannot claim any dishonesty about its vote after its approval. Figure 6 depicts the steps of vote constructions; they proceed as follows:

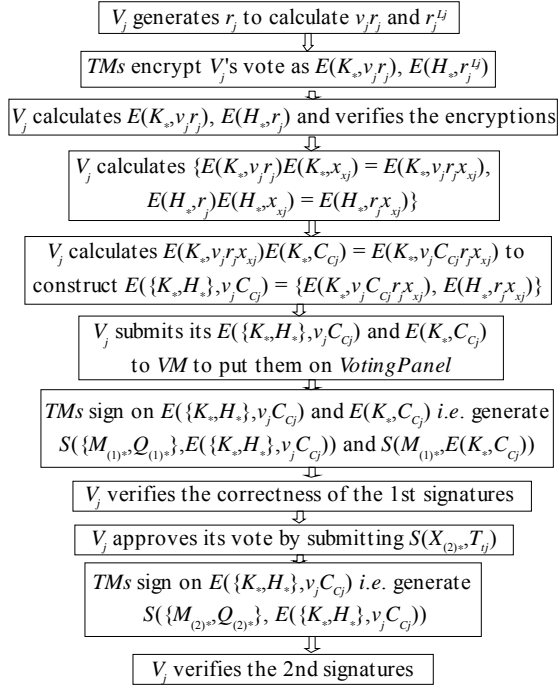


Fig. 6 Vote construction procedures

1.  $V_j$  generates its secret random number  $r_j$  to calculate  $v_j r_j$  and  $r_j^{L_j}$ , and asks  $TM_1, \dots, TM_p$  to encrypt them into  $E(K_{v_j}, v_j r_j)$  and  $E(H_{v_j}, r_j^{L_j})$ . By using these results,  $V_j$  calculates  $E(\{K_{v_j}, H_{v_j}, v_j\}) = \{E(K_{v_j}, v_j r_j), E(H_{v_j}, r_j)\}$  as described in Sec 3.4.

2.  $V_j$  verifies the correctness of encryption of  $E(\{K_{v_j}, H_{v_j}, v_j\})$ , and calculates  $E(K_{v_j}, v_j r_j)E(K_{v_j}, x_{ij}) = E(K_{v_j}, v_j r_j x_{ij})$  and  $E(H_{v_j}, r_j)E(H_{v_j}, x_{ij}) = E(H_{v_j}, r_j x_{ij})$ . Then it multiplies  $E(K_{v_j}, v_j r_j x_{ij})$  by its  $E(K_{v_j}, C_{C_j})$ , i.e. calculates  $E(K_{v_j}, v_j r_j x_{ij})E(K_{v_j}, C_{C_j}) = E(K_{v_j}, v_j C_{C_j} r_j x_{ij})$ , and constructs its vote as  $E(\{K_{v_j}, H_{v_j}, v_j C_{C_j}\}) = \{E(K_{v_j}, v_j C_{C_j} r_j x_{ij}), E(H_{v_j}, r_j x_{ij})\}$ .

3.  $V_j$  submits  $E(\{K_{v_j}, H_{v_j}, v_j C_{C_j}\})$  and  $E(K_{v_j}, C_{C_j})$  as its vote and puts them on the position corresponding to  $T_{ij}$  in *VotingPanel*.

4.  $TM_1, \dots, TM_p$  repeatedly sign on  $E(\{K_{v_j}, H_{v_j}, v_j C_{C_j}\})$  and  $E(K_{v_j}, C_{C_j})$  in *VotingPanel* by the 1st form of their signatures i.e. calculate  $S(\{M_{(1)^*}, Q_{(1)^*}\}, E(\{K_{v_j}, H_{v_j}, v_j C_{C_j}\}))$  and  $S(M_{(1)^*}, E(K_{v_j}, C_{C_j}))$ .

5. After confirming the correctness of signatures on its vote in *VotingPanel*,  $V_j$  submits  $S(X_{(2)^*}, T_{ij})$  to VM as its approval.

6.  $TM_1, \dots, TM_p$  repeatedly sign on  $E(\{K_{v_j}, H_{v_j}, v_j C_{C_j}\})$  by the 2nd form of their signatures i.e. calculate  $S(\{M_{(2)^*}, Q_{(2)^*}\}, E(\{K_{v_j}, H_{v_j}, v_j C_{C_j}\}))$ . Finally  $V_j$  verifies the signatures.

For this sub-stage security problems are as follows:

- *Voters may submit invalid votes to disrupt the voting:*  $V_j$  cannot claim that its vote is disrupted even its vote is meaningless when disclosed  $C_{C_j}$  is valid and signatures i.e.  $S(\{M_{(1)^*}, Q_{(1)^*}\}, v_j C_{C_j})$  and  $S(\{M_{(2)^*}, Q_{(2)^*}\}, v_j C_{C_j})$  are consistent.
- *VM may not put vote or put incorrect vote on VotingPanel:* As  $S(X_{(1)^*}, T_{ij})$  is open to the public,  $V_j$  can repeatedly submit its vote before its approval, therefore VM cannot deny putting. If VM puts incorrect vote,  $V_j$  can disapprove it.
- *Someone may disrupt votes in VotingPanel:* As *VotingPanel* is open to the public, no one can modify or delete votes without being detected.

### 5.4 Tallying Stage

Objectives of this stage are to decrypt all encrypted votes in *VotingPanel* and to disclose the results on *TallyingPanel* while concealing links between voters and their votes. When the deadline of vote submission comes, mutually independent *TMs* repeatedly perform decryptions and shuffles of votes by using their secret decryption keys to post the results on *TallyingPanel*, as shown in Fig. 7. In the figure, 3 Tallying managers  $TM_2, TM_1$  and  $TM_3$  execute decryptions and shuffles. In this example, multiple decryptions are executed in the order different from encryptions.

For this stage security problems are as follows:

- *Tallying managers may change votes:* No one can generate 2 different forms of votes consistently unless all *TMs* conspire, and when votes are changed, responsible *TMs* are detected at the disruption detection stage based on this inconsistency. For example, although  $TM_i$  can forge  $S(\{M_{(1)^*}, Q_{(1)^*}\}, v_k C_{C_j})$  from  $S(\{M_{(1)^*}, Q_{(1)^*}\}, v_j C_{C_j})$ ,  $S(M_{(1)^*}, C_{C_j})$ ,  $S(\{M_{(1)^*}, Q_{(1)^*}\}, v_k C_{C_j})$  and  $S(M_{(1)^*}, C_{C_j})$ , and replace  $S(\{M_{(1)^*}, Q_{(1)^*}\}, v_j C_{C_j})$  by it based on the homomorphic property,  $TM_i$  cannot forge  $S(\{M_{(2)^*}, Q_{(2)^*}\}, v_k C_{C_j})$  consistently because it does not know  $S(M_{(2)^*}, C_{C_j})$ .
- *TMs may add votes:* Anyone can detect the added votes by duplicated or by non registered CNs.
- *TMs may delete votes:* By this the numbers of votes on *VotingPanel* and *TallyingPanel* become different which is detectable by anyone.

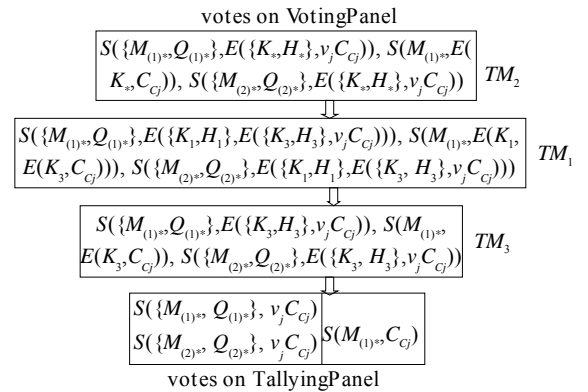


Fig. 7 Procedures in Tallying stage

### 5.5 Disruption Detection Stage

If any inconsistency is found in *TallyingPanel*, Disruption detection manager *DM* identifies liable entities. Figure 8 shows examples of consistent and inconsistent votes on *TallyingPanel*. The 1st vote (1st row) is consistent because 2 different forms of vote  $v_2 C_{18}$  are same and also  $C_{18}$  is registered. The 2nd vote (2nd row) is not consistent because the candidates within the 2 signed forms are different. The 3rd vote (3rd row) is inconsistent because  $C_{10}$  is not registered. The 4th and 5th votes (4th and 5th rows) are also inconsistent because of duplicated CNs.

*DM* identifies the liable entities as follows. When an inconsistent vote  $\underline{v}$  is found, *DM* asks *TMs* to encrypt  $\underline{v}$  again in the reverse order of the tallying stage, namely each  $TM_i$  encrypts  $\underline{v}$  and discloses the result with its input vote in the tallying stage that matches with  $\underline{v}$ . When this matching chain fails, the dishonest  $TM_i$  is found. Here  $TM_i$  cannot encrypt votes dishonestly because anyone can check the correctness of its encryption in the same



way as in Sec. 3.4. Also when  $\nu$  had been submitted in the authorized way, dishonest managers are identified before the chain reaches *VotingPanel*. Therefore privacies of voters are maintained.

$S(\{M_{(1)\leftrightarrow Q_{(1)\ast}\}, v_2 C_{18}\}, S(\{M_{(2)\leftrightarrow Q_{(2)\ast}\}, v_2 C_{18}\})$	$S(M_{(1)\leftrightarrow C_{18}})$	√
$S(\{M_{(1)\leftrightarrow Q_{(1)\ast}\}, v_b C_2\}, S(\{M_{(2)\leftrightarrow Q_{(2)\ast}\}, v_a C_2\})$	$S(M_{(1)\leftrightarrow C_2})$	x
$S(\{M_{(1)\leftrightarrow Q_{(1)\ast}\}, v_h C_{-10}\}, S(\{M_{(2)\leftrightarrow Q_{(2)\ast}\}, v_h C_{-10}\})$	$S(M_{(1)\leftrightarrow C_{-10}})$	x
$S(\{M_{(1)\leftrightarrow Q_{(1)\ast}\}, v_b C_{25}\}, S(\{M_{(2)\leftrightarrow Q_{(2)\ast}\}, v_b C_{25}\})$	$S(M_{(1)\leftrightarrow C_{25}})$	x
$S(\{M_{(1)\leftrightarrow Q_{(1)\ast}\}, v_a C_{25}\}, S(\{M_{(2)\leftrightarrow Q_{(2)\ast}\}, v_a C_{25}\})$	$S(M_{(1)\leftrightarrow C_{25}})$	x

“√” and “x” imply consistent and inconsistent votes, respectively

Fig. 8 Possible vote disruptions

## 6. Evaluation of the Scheme

### 6.1 Security Analysis

The proposed scheme satisfies the requirements of e-voting as follows.

**Privacy:** Voters submit their votes anonymously while showing their tokens, therefore no one except voters themselves can know votes of individual voters. Anyone cannot know voters who did not submit their votes either.

**Accuracy and universal verifiability:** For obtaining tokens the eligibility of voters are checked by their *ID* and *P/W* pairs, and no one can forge signatures on their tokens, therefore any unauthorized entity cannot put its vote. Also as voters put their votes in the positions of *VotingPanel* corresponded to their tokens, multiple voting is prevented. Moreover, uniqueness of registered *CNs* and signatures on them ensure that all and only votes approved by individual voters are counted.

**Fairness:** No single entity can decrypt interim voting results because votes on *VotingPanel* are repeatedly encrypted by multiple Tallying managers.

**Receipt-freeness:** Voters know only their tokens, encrypted votes and encrypted *CNs*, and all of them cannot be linked to their votes in *TallyingPanel*. Therefore the scheme is *receipt-free*.

**Incoercibility:** When decrypted votes in 2 different signed forms are equivalent, no one can claim that votes are disrupted, therefore coercers cannot invalidate elections by claiming vote disruptions while forcing voters to submit disrupted votes. Receipt-freeness of the scheme disables coercers to identify voters who had put meaningless votes, therefore voters can abstain from elections without being noticed by coercers by casting meaningless votes. Also the uniqueness of signed tokens that enable voters to prove their eligibilities, disables coercers to submit votes on behalf of voters.

**Dispute-freeness:** Publicly-verifiable data about interactions among entities on the *BBs*, signature pairs on votes and the disruption detection processes enable entities to resolve disputes.

**Robustness:** Voters can disrupt only their votes by submitting invalid votes. Either *VM* or *TMs* cannot disrupt votes. Because the correctness of votes in *VotingPanel* is ensured by individual voters' approvals, and inconsistent votes and the liable entities are identified at the disruption detection stage, inconsistencies can be recovered by simply decrypting inconsistent votes again.

**Scalability:** *CNs* simplify the computations of individual entities *e.g.* voters, *TMs* etc. while maintaining the total accuracy and the incoercibility of the election as demonstrated in Sec 6.2.

**Practicality:** The scheme is based on weaker assumptions about trustworthiness regarding entities *i.e.* nothing can make the

scheme unreliable unless multiple entities conspire. The scheme does not assume any absolutely trustworthy authority.

### 6.2 Performance Evaluation

A prototype system of the proposed scheme consists of 3 Tallying managers has been developed, and the computation times required for registration, voting and tallying are measured and the performances are compared with those of Scratch & Vote (S&V)<sup>(11)</sup> and Coercion-Resistant Voting (CRV)<sup>(16)</sup> which are available for comparisons. The environment consists of a 1.60 GHz CPU with 504 MBytes of RAM, and GMP<sup>(17)</sup> 1024 bit modulus running on Windows XP is used for encryptions. The time required for registering a voter is 0.0471 secs, for generating a vote is 0.308 secs, and for tallying a vote is 0.171 secs. Regarding the tallying, 1000 votes can be counted within 171 secs (*i.e.* 0.171 \* 1,000 = 171), and this shows that the scheme is scalable and practical enough.

Table 1. Computation time required by the proposed scheme

Registration (m. secs)		Voting (m. secs)		Tallying (m. secs)	
Blinding	0.3	$V_j$ 's encryption	3.0	Decryption	133.0
Signing	45.0	<i>TMs</i> 's encryption	17.0	Verification	38.0
Unblinding	1.8	$V_j$ 's decryption	9.0		
		Verification	108.0		
		Signing	135.0		
		Verification	36.0		
Total	47.1	Total	308.0	Total	171.0

The registration of voter  $V_j$  is comprised of token  $T_{ij}$  blinding, signature pair generations of 3 *TMs* *i.e.* generating 6 different signatures on blinded  $T_{ij}$ , and unblinding of 6 signed blinded  $T_{ij}$ . Here it is assumed that encrypted *CNs* and encrypted unknown random numbers are prepared in advance, therefore their computation time is not considered. The construction of vote  $v_j$  is comprised of the encryption of  $v_j$  by  $V_j$  itself, 3 *TMs*' triple encryptions of  $v_j$ ,  $V_j$ 's decryption of it,  $V_j$ 's verification of *TMs*' encryptions of  $v_j$  and  $x_{xji}$ , *TMs*' repeatedly signing on encrypted vote and *CN* and  $V_j$ 's verification of both forms of *TMs*' signatures. The time for tallying is comprised of decryptions and shuffles and verifications of 2 signed forms of votes and single signed form of *CNs*. Table 1 shows the computation time of each stage.

Table 2. Computation time comparisons with other schemes

	CPU	Registration	Voting	Tallying
Proposed scheme	1.6 GHz	0.0471 secs	0.308 secs	0.171 secs
CRV	2.0 GHz	-	-	26 ~ 62 secs
S&V	2.8 GHz	-	1 ~ 2 min	-

As Table 2 shows, compared with CRV that rely on ZKP, *CNs* of the proposed scheme substantially reduced the computation times *i.e.* the time required for the tallying is reduced at least more than 1/100 times. In the table all computation times of all schemes do not include the communication time. Here CRV adopts threshold ( $n, t$ ) ElGamal as the base encryption algorithm while using 5 and 3 as  $n$  and  $t$  values, where  $n$  is the total number of authorities and  $t$  is the threshold. The tallying process of CRV is comprised of verification of votes by NIZKPs, shuffling of verified votes, elimination of duplicated votes, shuffling of votes with unique credentials, shuffling of encrypted credentials of

registered voters, collision detections of registered credentials, separations of votes with invalid credentials, decryptions and tallying.

Among the above operations all shufflings are carried out by verifiable mixnets each consists of multiple Tallying managers. Although the computation volumes of individual encryptions/decryptions and shuffles included in these mixnets are the same as those in the proposed scheme, *i.e.* they are proportional to key lengths, verifiable features of the mixnets supported by NIZKP make the whole computations complicated, when compared with the proposed scheme supported by *CNs*. Different from the proposed scheme, in which each  $TM_i$  executes multiplications corresponding to 6 decryptions for each vote, CRV requires huge number of multiplications for each vote to verify the correct behaviors of mixnets, *i.e.* to conduct each NIZKP process reliably usually about 80 times of challenges and responses are necessary each of which requires the same numbers of multiplications as encryptions and decryptions do. Also, the computation time required for tallying in the proposed scheme is strictly proportional to  $N$ , the number of voters, on the other hand that in CRV is the order of  $N^2$  because it must eliminate duplicated votes, although it is suppressed to the linear order by using hashtables. Because voters carry out voting processes interactively, time required for voting is not so serious as tallying, therefore CRV did not mention the time of voting. Regarding the proposed scheme, 0.308 secs can be considered practical and scalable enough also. Moreover, many processes can be carried out in parallel by multiple managers if required. S&V is a paper based cryptographic voting system that offers entirely paper- and pen-based ballot casting, therefore the voting procedure is comparatively time consuming.

## 7. Conclusions

The proposed e-voting scheme achieves verifiability while disabling all entities including voters themselves to know the links between voters and their votes. Namely, the scheme satisfies all the essential requirements of e-voting. Most importantly, while being supported by *CNs*, these are achieved in a simple and efficient way. Unlike complicated ZKP based systems, the simplified computational requirements of individual election entities make the scheme practical and scalable.

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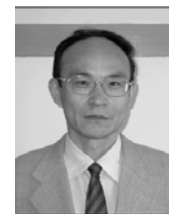
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